

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

036) BOX SEQ # 1

TRANSMITTAL FORM

(to be used for all correspondence after filing)

Total number of Pages in this submission

13

| Application Number | 09/920,480 |
|----------------------|----------------------|
| Filing Date | August 1, 2001 |
| First Named Inventor | Charles A. NICOLETTE |
| Group Art Unit | 1644 |
| Examiner Name | Unassigned |
| Attorney Docket No. | GZ 2063.10 |

COPY OF PAPERS ORIGINALLY FILED

Commissioner for Patents , Washington, D.C. 20231

| ENCLOSURES (check all that apply) | | | | | | |
|--|--------------------------|---------------------------------------|--|--|--|--|
| ☒ FEE TRANSMITTAL FORM | ☐ ASSIGNMENT PAPERS | ☐ AFTER ALLOWANCE | | | | |
| ☐ FEE ATTACHED | (FOR AN APPLICATION) | COMMUNICATION TO GROUP | | | | |
| ☐ AMENDMENT/RESPONSE | □ DRAWING(S) | ☐ APPEAL COMMUNICATION TO BOARD | | | | |
| ☐ AFTER FINAL | LICENSING-RELATED | OF APPEALS AND INTERFERENCES | | | | |
| ☐ Affidavits' Declaration(s) | PAPERS CONTROL TED | ľ | | | | |
| · | □ PETITION ROUTING SLIP | • | | | | |
| ■ EXTENSION OF TIME | (PTO/SB/60) AND | ☐ APPEAL COMMUNIATION TO GROUP | | | | |
| | ACCOMPANYING | (Appeal Notice, Brief, Reply Brief) | | | | |
| | PETITION) | | | | | |
| ☐ EXPRESS ABANDONMENT REQUEST | ☐ TO CONVERT A | ☐ PROPRIETARY INFORMATION | | | | |
| , | PROVISIONAL | | | | | |
| | APPLICATION | | | | | |
| ☐ INFORMATION DISCLOSURE | ☐ POWER OF ATTORNEY, | ☐ STATUS LETTER | | | | |
| STATEMENT W/1449 | REVOCATION CHANGE OF | | | | | |
| 7 0 | CORRESPONDENCE ADDRESS | ☑ ADDITIONAL ENCLOSURE(S) | | | | |
| CERTIFIED COPY OF PRIORITY | ☐ TERMINAL DISCLAIMER | (PLEASE IDENTIFY BELOW): | | | | |
| DOCUMENT(S) | ☐ SMALL ENTITY STATEMENT | NOTICE TO COMPLY, MARKED-UP VERSION, | | | | |
| RESPONSE TO MISSING PARTS/ | ☐ REQUEST FOR REFUND | SUBSTITUTE SEQUENCE LISTING, COMPUTER | | | | |
| INCOMPLETE APPLICATION RESPONSE TO MISSING PARTS | L REQUEST FOR REFUND | DISKETTE AND RETURN POSTCARD | | | | |
| UNDER 37 CFR 1.52 OR 1.53 | | DISKLITE AND RETORN TOUTONE | | | | |
| COPY OF PTO-1533 | | | | | | |
| ☐ ISSUE FEE TRANSMITTAL | REMARKS: | | | | | |
| ISSUE FEE TRANSMITTAL | REMARKS. | | | | | |
| MCCUTCHEN, DOYLE, BROWN & ENERS | EN LLP DATE: NOVEMBER 13 | 2001 | | | | |
| THREE EMBARCADERO CENTER, SUITE 1 | 77 7 | Hr 11/ 1. | | | | |
| SAN FRANCISCO, CA 94111 | BY: ////WHAUN | 1 // Cerco CC | | | | |
| TELEPHONE: (650) 849-4050 ANTOINETTE F. KONSKI (REG. NO. 34,202) | | | | | | |
| FACSIMILE: (650) 849-4800 | | | | | | |
| CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner | | | | | | |
| for Patents, Washington, D.C. 20231 on this date: November 13, 2001. | | | | | | |
| By: Name of person signing: Peggy Nichols | | | | | | |
| | | | | | | |

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| J.S. | | ar | | |

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Signature

ent fees are subject to annual revision.

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| Application Number | 09/920,480 | | | | |
| Filing Date | August 1, 2001 | | | | |
| First Named Inventor | Charles A. NIC LETTE | | | | |
| Examiner Name | Unassigned | | | | |
| Group Art Unit | 1644 | | | | |
| Attaman Dagket No. | C7 2053 40 | | | | |

Telephone

(650) 849-4950

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | |
|---|----------------------|---|----------------------|-----------------------|---|----------|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | ITIONAL F | EES | | _ | |
| Deposit Account Number 50-1189 | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
| Deposit Account McCutchen Doyle Brown & Enersen LLP | | • | | | | |
| Name | | 130 | 205 | 65 | Surcharge - tate filing fee or oath | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Applicant claims small entity status. See 37 CFR 1.27 | | 130 | 139 | 130 | Non-English specification | |
| 2. Payment Enclosed: | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other | 112 | 920° | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| FEE CALCULATION | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | Extension for reply within first month | 110.00 |
| | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| Large Entity 8mail Entity Fee Fee Fee Fee Description Code (8) Code (8) Fee | 117 | 920 | 217 | 460 | Extension for reply within third month | |
| Paid | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 101 740 201 370 Utility filing fee | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 106 330 206 165 Design filing fee | 119 | . 330 | 219 | 160 | Notice of Appeal | |
| 107 510 207 255 Plant filing fee | 120 | 330 | 220 | 160 | Filing a brief in support of an appeal | <u></u> |
| 108 740 208 370 Reissue filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 114 160 214 80 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$) | | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES | | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| Extra Fee from See Paid | 142 143 | 460 | 243 | 230 | Design issue fee | |
| Claims below Total Claims 20** = x = \$ | 144 | 620 | 244 | 310 | Plant issue fee | |
| Independent 300 = x = \$ | 122 | 130 | 122 | 130 | Petitions of the Commissioner | |
| Multiple Dependent = \$ | 123 | 50 | 123 | 50 | Petitions related to provisional applications | 10 |
| · | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | |
| 103 18 203 9 Claims in excess of 20 | 146 | 740 | 246 | 370 | Filing a submission after final rejection | |
| 102 84 202 42 Independent claims in excess of 3 | 149 | 740 | 249 | 370 | (37 CFR § 1.129(a)) For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 104 280 204 140 Multiple dependent claims, if not paid | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 109 84 209 42 **Reissue independent claims over | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| • Reissue claims in excess of 20 and | | | | | | |
| over original patent | Other fee | (specify) | | | | |
| SUBTOTAL (2) (\$) | | • | | | | |
| " or number previously paid, if greater; For relasues, see above. | *Reduced | d by Basic F | iling Fee Pa | id | SUBTOTAL (3) (\$) | 110.00 |
| Complete (if applicable) | | | | | | |

(\$)110.00

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Registration No.

(Attorney/Agent)

34,202

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